

Research Article

Trends in spatio-temporal distribution of Peruvian anchovy and other small pelagic fish biomass from 1966-2009

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ABSTRACT. Changes in abundance and distribution of anchovy and other species of pelagic fish of the Northern Humboldt Current System (NHCS) are driven by environmental forcing variations in different time and spatial scales between the coastal 'cold' ecosystem and the 'warm' oceanic one. Data to study these fluctuations have come mostly from the fishery to show how anchovy (*Engraulis ringens*) increases when sardine (*Sardinops sagax*) declines and vice versa. However, using acoustic data on latitudinal biomass we show that other species as mackerel (*Scomber japonicus*) and jack mackerel (*Trachurus murphyi*) also follow the same opposed trend, then the fishery data hides the true dimension of the balance of abundance among species. Based on Hovmoller diagrams we scrutinized the changes in interannual latitudinal acoustic biomass, landings and influence of El Niño events from 1966 to 2009 in order to describe: 1) how the anchovy decadal distribution pattern moved from south to north since the 1960's; 2) how there have been produced concomitant changes in the latitudinal abundance and distribution of other species such as sardine, jack mackerel and mackerel before, during and after El Niño events; and 3) what was the overall effect of the succession of El Niño events on all these pelagic species. We concluded that: a) every El Niño event has had an effect on the expansion or contraction of pelagic species distribution and abundance, with different latitudinal effects; and b) the El Niño 1997-98 did not trigger but accelerated a decline phase on the abundance of sardine, jack mackerel and mackerel by a reduction of their ideal habitat due to an expansion of the coastal ecosystem caused by a shallower location of the upper limit of the Oxygen Minimum Zone (OMZ) These findings observed using past data might be taken into consideration for fishery management purposes when considering future scenarios.

Keywords: acoustics, small pelagic fish, landings, biomass, latitudinal distribution, El Niño, Perú.

Tendencias espacio-temporales en la distribución de la biomasa de anchoveta peruana y de otros peces pelágicos pequeños entre 1966 y 2009

RESUMEN. Los cambios en la abundancia y distribución de anchoveta y de otras especies de pequeños peces pelágicos de la región norte del Sistema de la Corriente de Humboldt (NHCS) son el producto de la variación de forzantes ambientales en diferentes escalas de tiempo y espacio que influyen entre el ecosistema 'frío' costero y el oceánico 'cálido'. La información para estudiar estas fluctuaciones provienen mayormente de las pesquerías, y muestran que la anchoveta (*Engraulis ringens*) incrementa su abundancia cuando la de sardina (*Sardinops sagax*) declina y viceversa. Sin embargo, utilizando datos acústicos de biomasa latitudinal se muestra que otras especies como la caballa (*Scomber japonicus*) y el jurel (*Trachurus murphyi*) también siguen la misma tendencia opuesta a anchoveta, lo que en principio indica que los datos pesqueros disponibles no indican la verdadera dimensión del balance de abundancia entre especies. Utilizando diagramas de Hovmoller se analizan los cambios en la biomasa acústica latitudinal, capturas e influencia de eventos El Niño ocurridos entre 1966 y 2009 con el objetivo de describir: 1) cómo el patrón de distribución decadal de anchoveta se movió de sur a norte desde la década de 1960, 2) cómo se han producido cambios concomitantes en la abundancia latitudinal de otras especies tales como sardina, caballa y jurel antes, durante y después de eventos El Niño, y 3) cuál fue el efecto general de la sucesión de eventos El Niño sobre todas estas especies. Se concluye que: a) cada evento El Niño ha tenido un efecto en la expansión o contracción de la distribución de especies pelágicas, con diferente gradiente latitudinal, y b) El Niño 1997-98 no disparó sino aceleró la fase declinante de la abundancia de sardina, caballa y jurel al reducirse el tamaño de su hábitat ideal debido a una expansión del hábitat costero que presentó además un acercamiento a la superficie del límite superior de la

Zona Mínima de Oxígeno (MOZ). Las observaciones logradas con datos acústicos podrían ser tomadas en consideración para el manejo pesquero al considerar escenarios futuros.

Palabras clave: acústica, peces pelágicos, capturas, biomasa, distribución latitudinal, El Niño, Perú.

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INTRODUCTION

The Northern Humboldt Current System (NHCS) off Peru is characterised by the presence of high abundance of several species of small pelagic fish, in particular anchovy (*Engraulis ringens*), the world's most caught fish since the 1950's (Pauly & Tsukayama, 1987; Arntz & Fahrbach, 1996; Bakun & Broad, 2003; Chávez *et al.*, 2003; Bakun & Weeks, 2008). Approximately 260 million ton of anchovy were caught off Peru between 1959 and 2009 at an average rate of 6.35 million ton per year (IMARPE, unpublished data). However, during the last decades anchovy population has shown a dramatic rise and fall in its population due to three main factors: interdecadal climatic regimes (Chávez *et al.*, 2003; Alheit & Ñiquen, 2004; Gutiérrez *et al.*, 2007; Swartzman *et al.*, 2008), changes in biological diversity of plankton communities driven, in particular, by the El Niño Southern Oscillation (Ayón *et al.*, 2008; Ayón *et al.*, 2011), and fishing pressure (Csirke *et al.*, 1996; Alheit & Ñiquen, 2004; Bertrand *et al.*, 2004); Gutiérrez *et al.*, 2007).

The Eureka Program

After the collapses of the anchovy population during the early 1970s and 1980s, more precautionary management approaches were implemented to provide sustainability to the fishery (Csirke *et al.*, 1996; Ñiquen *et al.*, 2000; Chávez *et al.*, 2008). Nevertheless since the beginning of the commercial fishery (1950's), FAO and the local fishing industry had cooperated with the Peruvian government in the establishment of methods allowing the collection of useful information for fishing managers (Villanueva, 1971; Valdivia, 1978). The central method was the use of quick synoptic surveys to collect biological and qualitative acoustic information aboard fishing vessels through a survey system called The Eureka Program, which was founded during 1966 after two years of trials (Villanueva, 1971; Fernandes *et al.*, 2001; Gutiérrez, 2001a; ICES, 2007). Black and white echograms printed in paper aboard fishing vessels (FV) were used to extract data and produce analysis based on visual scrutiny of echotrace classification of detected fish schools according to their shapes and relative abundance scores (*e.g.* from 0 to 5) for every

surveyed distance (*e.g.* 1 to 4 nm) (Fig. 1). These 'eye-based' data were used to construct maps of fish distribution and relative abundance (Villanueva, 1971). After 16 years (1966-1982) the use of FV to produce regular monitoring on anchovy was replaced by the operation of research vessels (RV) with higher autonomy than FV and better acoustic equipment, to make possible to perform calibrated biomass assessment of anchovy and other fish species (Gutiérrez *et al.*, 2001).

The Acoustic Surveys Program

Since the 1960s, acoustic tools and methods quickly improved (Fernandes *et al.*, 2001). First echosounders were analogue and only used for limited qualitative purposes due to the lack of consistent methods and devices to convert the backscattered acoustic energy into fish biomass (Simmonds & MacLennan, 2005). The use of echartegrators (Dragesund & Olsen, 1965; Scherbino & Truskanov, 1966) was introduced in Peru during the following decade (1970s). In 1983, the Instituto del Mar del Perú (IMARPE) founded a quantitative acoustic surveys program aboard research vessels operating analogue echosounders and echartegration devices and using methods developed by a FAO-NORAD-IMARPE Project (1975-1981) (Johannesson & Robles, 1977; Burczynski, 1982; Johannesson & Vilchez, 1981a, 1981b; Venema, 1992). The intense use of catch data obtained by the RVs during surveys (Foote 1994, 1995) to support acoustic identification of fish became an essential aspect of the methods developed to replace the Eureka Program whose surveys were evenly applied after 1982. In 1997 IMARPE replaced the analogue acoustic devices by digital multifrequency and post-processing systems (MacLennan, 1997, 1998, 1999).

Other species of pelagic fish

The other species that started to be acoustically assessed during 1983 to support their fishery management were: sardine (*Sardinops sagax*) with a total landing of 39.1 million ton at an average rate of 1.6 million per year between 1970-2004; mackerel (*Scomber japonicus*) with a total landing of 2.32 million ton at an average rate of 0.15 million per year between 1985-2009; and jack mackerel (*Trachurus murphyi*) with a total catch of 7.5 million ton at an

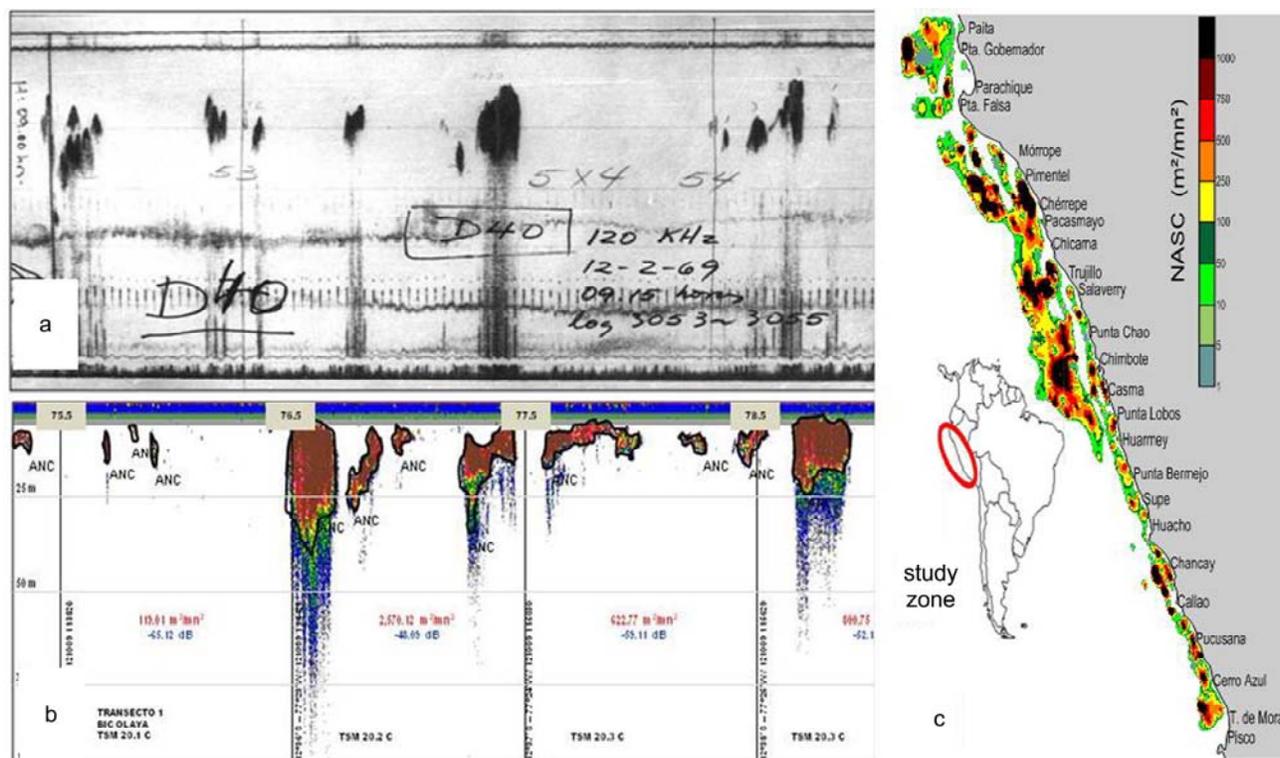


Figure 1. Comparison between the first and current acoustic echograms being used for acoustic fish stock assessment. a) Paper-recorded black and white echogram collected during the 1960's, in which most of the ancillary information had to be written by hand, b) digital echogram in which acoustic thresholds, scale colours, ESDU limits etc can be edited without modifying the hidden data (backscattered volume of targets). All the correlated information (e.g. latitude, longitude, date, time, depth, is intrinsic to every acoustic ping), c) Study area and a sample of density distribution map for anchovy based on the analysis of echograms and their echartegration (in NASC values).

Figura 1. Comparación entre los primeros y actuales ecogramas. a) Ecogramas impresos en papel en blanco y negro durante la década de 1960, cuando la mayor parte de información auxiliar tenía que ser escrita a mano, b) ecograma digital actual, en el cual el umbral acústico, límites de unidad de muestreo y otros parámetros pueden ser editados sin alterar la data básica (energía retrodispersada por los peces). Toda la información relacionada (por ejemplo, latitud, longitud, fecha, hora, profundidad) es intrínseca a cada pulso acústico, c) área de estudio y una muestra del mapa de densidad de distribución de anchoveta basado en análisis por echartegración (valores NASC).

average rate of 0.22 million ton per year between 1966-2009 (IMARPE, unpublished data). Some serious concerns emerged about the sustainability of these fisheries after the El Niño 1997-98 when the abundance of these species reduced and dramatically declined since El Niño 2002 although yet not officially declared as collapsed. At the same time the anchovy biomass increased following the amplification of its coastal habitat (Bertrand *et al.*, 2004; Gutiérrez *et al.*, 2007).

Uncertainty

The different quality of data collected since 1966 makes it hard to compare and analyze the changes in abundance and distribution of fish occurred in the NHCS. However, in this paper we reconstructed the

spatiotemporal distribution of anchovy, sardine, mackerel and jack mackerel biomass in order to discuss their variability according to the fishing pressure and the environmental signals provided by El Niño events (e.g. change in gravity centre and abundance variations). Then the aim of this paper is to synoptically describe the past changes and effects of El Niño events in order to help the managers of the Peruvian government and the private sector to deal with future uncertainty regarding varying population levels of pelagic fish stocks.

MATERIALS AND METHODS

Different methods and acoustic devices have been used by IMARPE since the 1960's, then the type of

acoustic data used in this paper also varied according to what it is described in the following sections:

1966-1982: Fishing vessels as observational platforms of anchovy population

This kind of survey is still performed as a complement to regular monitoring of pelagic stocks. A quick and synoptic Eureka Survey on anchovy involves 25 to 50 fishing vessels contracted by IMARPE. This kind of survey is useful for monitoring spawning and recruitment areas, establishing fishing grounds, measuring the relative abundance or availability of target species, and determining local or regional oceanographic and environmental conditions. Each participating vessel is assigned to cover two or three parallel or triangular transects, and conduct associated oceanographic and fish sampling following a survey design similar to that employed during conventional acoustic surveys. With multiple vessels, the area can be completely surveyed in 2-3 days. The crew aboard every vessel includes three scientific observers from IMARPE: an acoustician, a biologist, and an oceanographer. The acoustician uses the acoustic equipment available on board, and the biologist and oceanographer carry standard sampling equipment (ICES, 2007). The Eureka Program was the only source of data for fish stock assessment on anchovy from 1966 to 1982 producing 32 surveys in the meantime. Most of Eureka surveys only covered 60 nm offshore as an average, which did not permit the assessment of fish species with a more oceanic distribution (Gutiérrez *et al.*, 2001).

1983-1991: Acoustic assessment throughout conversion factors obtained from calibrations using live fish in cages

A FAO-NORAD-IMARPE project established the first Regional Center of Electroacoustics in Latin America (CREA, Johannesson & Robles, 1977) which permitted the training of the first generation of acoustic researchers of the region. In Peru CREA performed 10 experimental acoustic surveys aboard RV Tareq II and SNP-1 (1975-1981, Johannesson & Vilchez, 1981). The main goal was to develop assessment methods based on acoustic analogue detection to calculate mean backscattered energy by contouring the samples obtained in surveyed areas targeting fish like anchovy, sardine, hake (*Merluccius gayi*), mackerel and jack mackerel. Details of those surveys can be found in Johannesson & Robles (1977), Johannesson & Vilchez (1981a, 1981b) and Muck & Sánchez (1987). Echo sounders were calibrated before each survey using hydrophones (and eventually metal spheres) prior to the calculation of

factors to convert averaged Nautical Acoustic Scattering Coefficients (NASC, formerly named "M", then 's_A') in absolute biomass estimations (Burczynsky, 1982). The specific method for calculating the conversion factors by species ('Cx') to transform the echointegrator NASC readings into biomass consisted in measuring the mean acoustic response of live fish while individuals were progressively aggregated to the submerged cage (*e.g.* in groups of 50 individual fish every time) until the saturation of the echointegrator. Details of the method can be found in Johannesson & Vilchez (1981a, 1981b).

NASC values were averaged and contoured under an arbitrary classification of four levels in order to measure the surface and acoustic density of every strata (1 to 10; 10 to 100; 100 to 1,000; and >1,000 m² nm⁻²). The biomass was calculated by degree of latitude, being the corresponding abundance the sum of the partial biomass of the four strata (details can be found in Burczynsky, 1982 and Vilchez *et al.*, 1988). Thirteen surveys of this kind were performed from 1983 to 1991 using a sampling distance of 2 nm. Since 1983 surveys were not limited to the known distribution areas of anchovy and ranged further offshore to monitor the abundance of other species as well. The research vessels (RV) deploying analogue Simrad EK and EKS systems (Kongsberg, Norway) employed during this period was: Humboldt (76 m), SNP-1 (36 m) and Nansen (VNIRO, URSS, 71 m). Used main frequency was 120 kHz though 12, 38 and 50 kHz were also used. First digital split beam system (38 and 120 kHz) were installed aboard RV Humboldt in 1987 (Simrad EK400 and QD integrator).

1992-1996: Acoustic assessment applying TS-Length relationship for herring

In 1992 there were no specific Target Strength-Length (TS-L) relationships for any of the four targeted species (anchovy, sardine, mackerel and jack mackerel), then the TS-L relationship for herring (*Clupea harengus*) was used under the assumption that it had similar acoustic reflectivity than other small pelagic fish (Foote, 1994; MacLennan, 1996). Echosounder calibration was also improved using metal spheres (Foote, 1994, 1995, Foote *et al.*, 1987a, 1987b). Finally, the length of ESDU was reduced from 2 to 1 nm to increase the spatial resolution of acoustic data (Foote, 1994). From 1992 to 1996 six surveys were performed using the R/V SNP-1 equipped with an analogue 38 kHz echo-sounder. The biomass was calculated by averaging NASC inside 'isoparalitoral areas' *i.e.* sections parallel to the coastline every 10 min of longitude by 30 min of latitude (Gutiérrez & Peraltilla, 2000; Simmonds *et al.*, 2009).

Since 1997: Target Strength-Length relationships for native species and post processing calculations

In 1997, the RV Humboldt was equipped with a bi-frequency split-beam digital scientific echosounder (Simrad EK500). From 1997 to 1999 IMARPE's two new research vessels were built (RV Olaya, 41 m; RV SNP-2, 21 m) and equipped with similar devices (MacLennan, 1998, 1999), enabling the possibility of performing joint surveys and *in situ* and *ex situ* TS-L measurements (MacLennan, 1998; Gutiérrez & MacLennan, 1998). Since 1997 the biomass calculations for anchovy was made using specific TS-L relationships (MacLennan, 1998; Simmonds *et al.*, 2009). Some other specific relationships were used for the other species which are described in the IMARPE's reports (*e.g.* Castillo *et al.*, 2009). The data gathered by digital sounders was then logged and recorded using softwares BI-60 (Simrad, Norway, from 1998 to 2000) and Echolog (Myriax Pty, Australia, since 2000). Digital data analysis was performed since 2000 using algorithms developed in Echoview (Myriax Pty, Australia). Nevertheless the method for geographical calculation of biomass by isoparalitoral areas remained similar though NASC values were exported directly from Echoview without 'handmade' work. Again, the biomass was the sum of fish density by corresponding areas by degree of latitude. Since 1997 to 2009, 39 surveys were performed aboard RVs Humboldt, Olaya and SNP-2.

Hovmöller diagrams and summary tables

A common result of all available acoustic data from 1966 to 2009 is the latitudinal biomass. From this information we produced Hovmöller diagrams to illustrate the changes in fish biomass according to latitudes (by degree) and time (by year). Fish biomass was interpolated by triangulation to produce a coloured grid of nodes representing the relative abundance of fish using Surfer software (Golden Software, Colorado, USA). The annual catch by species was calculated from monthly landings registered by IMARPE (www.imarpe.pe) and fitted to the time scale of the grid. For each survey and each species the latitudinal component of the gravity centre of fish biomass was calculated (Bez, 1997) and superposed to the Hovmöller diagram. Finally we indicated the period when El Niño events occurred from 1966 to 2009. Every El Niño event was classified -as cited in the literature- as very strong, strong, moderated or weak according to the thermal anomalies registered during the events (*e.g.* Zuta & Guillén, 1970; Arntz & Fahrbach, 1996; Moron., 2000; Chávez *et al.*, 2003, 2008; Bertrand *et al.*, 2004; Gutiérrez *et al.*, 2007).

For each species, we produced a table scoring the observed trends in fish distribution up to two years before, during, and two years after each El Niño event. The aspects we assessed using relative expressions (*e.g.* high, medium, low, northern, central, southern) were: (1) the relative biomass, (2) the overall latitudinal distribution, (3) the latitude of the gravity centre, (4) the overall trend of distribution and, (5) the catches. Finally, another table summarizes the above described criteria for the four species using El Niño events as reference checkpoints.

RESULTS

In total 90 acoustics surveys performed along the Peruvian coast between 1966 and 2009 were used in this analysis. Before 1983 acoustic information was available for anchovy only (34 surveys) while all the other included sardine, mackerel and jack mackerel (56 surveys).

Anchovy

Acoustic estimation of anchovy biomass (Fig. 2, Table 1) ranged from 0 (summer 1983) to 12.71 million ton (summer 2005) with 5.13 ton as an average. The biomass in per degree of latitude ranged between zero and 3.96 ton (7°S, summer 2004), with 0.44 ton as an average. The annual catch ranged between 0.024 (1984) and 12.27 ton (1970), with an average of 6.35 ton. Before El Niño 1972-73, anchovy biomass was higher than 5 ton and centred at 12°S of latitude, then the biomass dramatically decreased to reach 3 ton as an average until El Niño 1982-83 when the population collapsed. Its biomass remained low during the 1980s reaching its lowest values during 1983-84 to progressively recover by the late 1980s and during the 1990s. After the El Niño event 1997-98, anchovy biomass reached the maximum values of the analyzed time series. This increasing trend in biomass was accompanied by a change in latitudinal distribution toward the north: 11°S during the 1990's, and 9°S during the 2000's.

Sardine

Acoustic biomass of sardine (Fig. 3, Table 2) ranged from 0 (after 2001) to 5.74 ton (summer 1990) with 1.91 ton as an average until 2001. The biomass per degree of latitude ranged between zero and 1.81 ton (13°S, August 1989) with 0.22 ton as an average from 1983 to 2001. The annual catch ranged between 3.46 ton during 1988 and zero after 2004. The mean biomass of sardine was higher than 3.5 ton after El Niño 1982-83 and before 1992 with a distribution centred at 11°S. Before El Niño 1992 and before

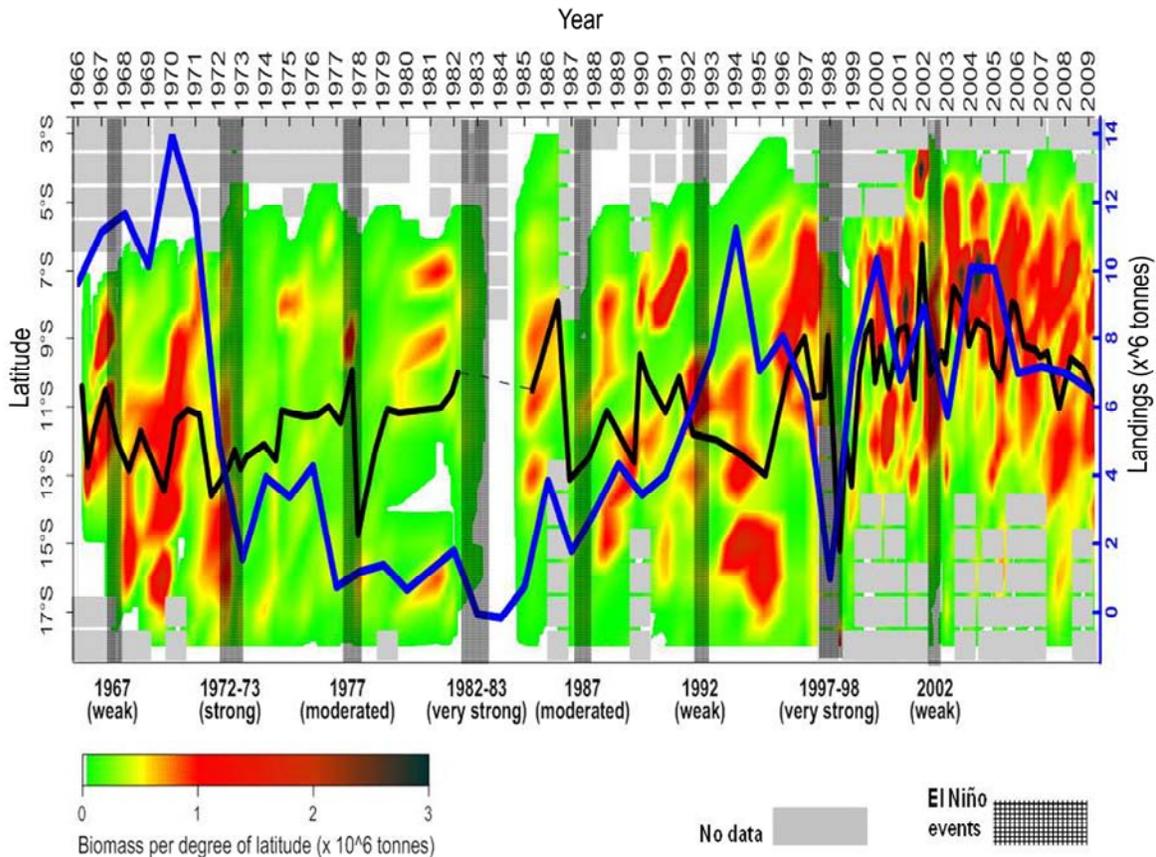


Figure 2. Hovmöller diagram of anchovy biomass by latitude during 1966-2009. Superposed on the figure are indicated, the latitudinal gravity centre of anchovy biomass (black solid line), the annual landings of anchovy (blue solid line) and the El Niño events (vertical hatched bars). Grey bars indicate no surveyed areas.

Figura 2. Diagrama Hovmöller de la biomasa latitudinal de anchoveta entre 1966 y 2009. Sobrepuestas sobre la figura se indica el centro de gravedad latitudinal de la biomasa de anchoveta (línea sólida negra), las capturas anuales de anchoveta (línea sólida azul) y los eventos El Niño (barras achuras verticales). Las barras grises indican áreas no prospectadas.

El Niño 1997-98 the biomass was lower than 1.5 ton and its distribution centred at 8°S. Its biomass collapsed after El Niño 1997-98 and remained patchy distributed along the coast until 2004, the last year when officially reported in the landings of the pelagic fishery.

Mackerel

The acoustic biomass of mackerel (Fig. 4, Table 3) ranged from 0.002 ton (summer 2002) and 2.94 ton (Summer 1994) with 0.78 ton as an average. The biomass per degree of latitude ranged between zero and 1.01 ton (5°S, December 1996) with 0.082 ton as an average. The annual catch ranged between 0.38 ton (1998) and 0.08 ton (1992). The mean biomass of mackerel was higher than 1.5 ton after El Niño 1982-83 and before El Niño 1997-98, and lower than 0.25 ton afterwards. Its distribution was centred at 10°S after 1983 and before El Niño 1992, and then centred

at 8°S until El Niño 1997-98. Its biomass collapsed and patchy distributed after El Niño 1997-98 though again centred at 10°S. In spite mackerel is continuously reported as by catch in commercial fishing mostly associated to jack mackerel catches.

Jack mackerel

Acoustic biomass of jack mackerel (Fig. 4, Table 4) ranged from 0.002 ton (Spring 2004) to 8.51 ton (Summer 1983) with 1.97 ton as an average. The biomass per degree of latitude ranged between zero and 3.19 ton (8°S, Summer 1993) with 0.22 ton as an average. The annual catch ranged between 0.002 ton (1968) and 0.72 ton (2001). The mean biomass of mackerel was higher than 4 ton after El Niño 1982-83 and before El Niño 1997-98 when its distribution was centred at 10°S. After El Niño 1997-98 its biomass was reduced to around 0.4 ton and collapsed after El Niño 2002 to less than 0.3 ton and a distribution

Table 1. Trends of distribution, abundance and catches of anchovy before, during and after El Niño events since 1966 to 2009.
Tabla 1. Tendencias de la distribución, abundancia y capturas de anchoveta antes, durante y después de eventos El Niño de 1966 a 2009.

Indexes	Niño		1972-1973		1977		1982-1983		1987		1992		1997-1998		2002		Overall score
	Level	weak	strong	moderated	moderated	low	medium	very strong	moderated	moderated	weak	weak	very strong	very strong	weak	weak	
Relative biomass	before	high	high	low	low	medium	high	high	high	high	higher before 1972 and after 1992						
	during	high	high	medium	medium	low	low	low	low	low	medium	medium	medium	medium	medium	high	and after 1992
	after	high	low	low	low	very low	medium	very low	medium	medium	high	high	high	high	high	high	
Overall latitudinal distribution	before	central	southern	all coast	southern	all coast	northern	northern	central	central	northern	northern	northern	northern	northern	northern	variable though northern after 1992
	during	central	southern	central													
	after	southern	all coast	all coast	southern	southern	-	-	central	central	southern	southern	northern	northern	northern	northern	variable though mostly southern before 1992
Gravity center	before	13°S	14°S	11°S	11°S	11°S	11°S	11°S	8°S	8°S	11°S	11°S	9°S	9°S	9°	9°	very variable
	during	11°S	12°S	10°S	10°S	10°S	10°S	10°S	13°S	13°S	12°S	12°S	10°S	10°S	11°	11°	
	after	13°S	11°S	11°S	15°S	15°S	?	?	11°	11°	13°S	13°S	8°S	8°S	8°	8°	
Overall trend of distribution	before	northernward	southernward	-	-	-	northernward	mostly northernward									
	during	-	northernward	northernward	northernward	northernward	-	-	southernward	southernward	-	-	southernward	southernward	southernward	southernward	mostly southernward after 1982-83
	after	southernward	-	southernward	southernward	southernward	?	?	northernward	northernward	southernward	southernward	northernward	northernward	northernward	northernward	variable though mostly northernward after 1992
Catches (x 10 ⁶ ton)	before	10	6	2	2	2	3	3	4	4	5	5	6	6	8	8	higher before 1972 and after 1992
	during	11	4	2	2	2	1	1	2	2	6	6	1	1	9	9	
	after	10	2	2	2	2	0	0	5	5	7	7	8	8	7	7	

Note: 2 years before and after every El Niño event.

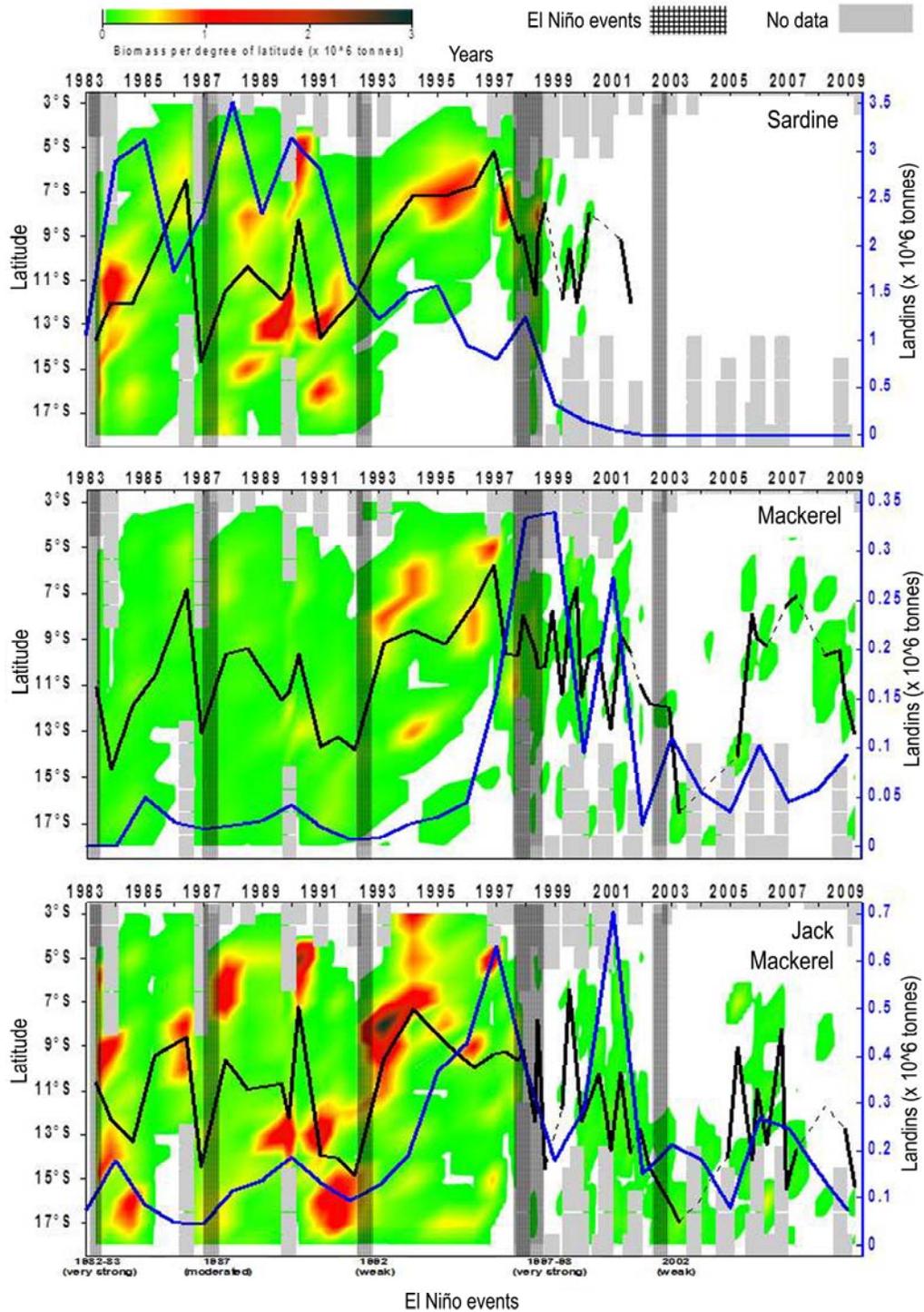


Figure 3. Hovmöller diagram of sardine (upper diagram), mackerel (centre) and jack mackerel (lower diagram) biomass by latitude during 1983-2009. Superposed on the figure are indicated, the latitudinal gravity centre of fish biomass (black solid line), the annual landings (blue solid line) and the El Niño events (vertical hatched bars). Grey bars indicate no surveyed area.

Figura 3. Diagramas Hovmöller para la biomasa latitudinal de 1983 a 2009 para la sardina (diagrama superior), caballa (centro) y jurel (diagrama inferior). Superpuestas sobre los diagramas se observan líneas que indican el centro de gravedad latitudinal de la biomasa (líneas de color negro sólido), las capturas anuales (líneas sólidas azules) y la ocurrencia de eventos El Niño (barras achuradas verticales). Las barras grises indican zonas no prospectadas.

Table 2. Trends of distribution, abundance and catches of sardine before, during and after El Niño events since 1983 to 2009.

Tabla 2. Tendencias de la distribución, abundancia y capturas de sardina antes, durante y después de eventos El Niño de 1983 a 2009.

Sardine							
Indexes	Niño	1982-1983	1987	1992	1997-1998	2002	Overall score
	Level	very strong	moderated	weak	very strong	weak	
Relative biomass	before	high	medium	high	medium	very low	lower after 1992
	during	high	medium	medium	medium	-	
	after	high	medium	high	low	-	
Overall latitudinal distribution	before	central	all coast	central	northern	?	northern after 1992
	during	central	all coast	all coast	central	?	mostly central
	after	central	all coast	northernward	central	?	
Gravity center	before	14°S	7°S	13°S	5°S	?	very variable
	during	13°S	15°S	12°S	11°S	?	variable
	after	12°S	12°S	9°S	8°S	?	
Overall trend of distribution	before	central	northernward	central	northernward	?	mostly northernward
	during	central	southernward	all coast	central	?	mostly central
	after	central	northernward	northernward	all coast	?	mostly northernward
Catches (x 10 ⁶ ton)	before	1,5	2	2	0,8	0,01	lower after 1992
	during	1,5	2,5	1,2	1,2	0	
	after	3	3,5	1,5	0,5	0	

Note: 2 years before and after every El Niño event.

centred at 12°S. Jack mackerel was distributed along the entire coast until El Niño 1997-98 to later on being southward and patchy distributed.

DISCUSSION

The results of this study show that in the NHCS the succession of El Niño events can cause sudden and dynamic changes in distribution of pelagic fish stocks though variation of populations abundance are rather slow both when increasing or decreasing depending on the balance among coastal and oceanic habitats. In this regard we updated the acoustic biomass time series on several pelagic stocks to provide information to foresee future scenarios from observing past effects and trends.

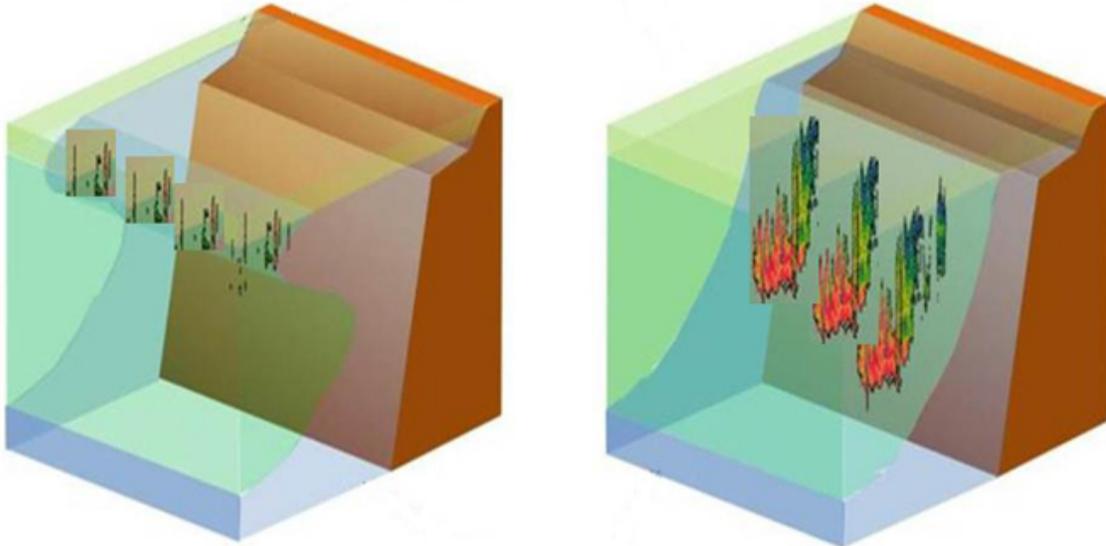
The known history

The reconstruction we made on the IMARPE's acoustic historical data (>40 years, since 1966 in the case of anchovy) allowed producing a heuristic vision of pelagic fish population spatiotemporal dynamics of the NHCS (Figs. 2 and 3; Table 5). However there were no extensive surveys on other pelagic fish species to compare with anchovy before 1983 then we

used catches as a proxy of relative abundance between anchovy and SMJ.

The most simple aspect we can see in our data is what has been described many times regarding higher anchovy biomass before 1973, then low to very low biomass after 1982-83, then a recovery and a consolidation process (1992-2001) until a new cycle totally favorable for anchovy since 2002 (Chávez *et al.*, 2003; Alheit & Ñiquen, 2004; Gutiérrez *et al.*, 2007). This includes the observation of SMJ biomass which inversely varied with the one for anchovy. Nevertheless we added new findings regarding South-North trends in changes of the gravity centre of anchovy and SMJ distributions during the studied period to relate them -at an interannual scale- to El Niño events which mostly led to a more southward distribution of all species, although the magnitude of the migration varied according to each event.

In the case of anchovy -although at interdecadal scales it tends to be distributed from south to north since the 1960's- temporarily migrated toward the central and southern areas during El Niño events in 1967 (weak), 1977 (moderated), 1987 (moderated), and 1997-98 (very strong). However the changes in distribution do not seem to be related to El Niño



Cold scenario: shallower upper limit of Minimum Oxygen Zone (MOZ), strong upwelling, high primary and secondary productivity favorable to anchovy with lower densities for other small pelagic fish. 1960, 1990 and 2000 decades.

Warm scenario: deeper upper limit of Minimum Oxygen Zone (MOZ), weaker upwelling, lower primary and secondary productivity not favorable to anchovy but to other pelagic fish. 1970-80 decades.

Figure 4. Right panel: Schematics of the SMJ distribution during ‘warm’ periods (El Niño-like events) and, left panel, during ‘cold’ events (La Niña-like events). During warm events the location of OMZ is deeper hence allowing a closer distribution of SMJ to the coast and better habitat conditions. The opposite happens during cold events when the vertical extension is reduced due to a shallower OMZ.

Figura 4. Panel derecho: Esquema de la distribución de sardina, caballa y jurel (SMJ) durante regímenes ‘cálidos’ (y eventos tipo El Niño) y, panel izquierdo, durante regímenes ‘fríos’ (y eventos tipo La Niña). Durante los eventos cálidos la ubicación del límite superior de la Zona Mínima de Oxígeno (ZMO) es relativamente profunda y permite un mejor hábitat y una distribución más cercana de SMJ a la costa. El efecto opuesto ocurre durante eventos fríos cuando la extensión vertical del hábitat se reduce cuando el límite superior de la ZMO se acerca a la superficie.

strength since strong and weak El Niño events such as the ones occurred in 1972 and 1992 respectively did not have any visible effect on the anchovy distribution at in terms of latitudinal variation. In other cases and under certain conditions anchovy perform vertical migrations rather than latitudinal depending on the location of the Oxygen Minimum Zone (OMZ) (Gutiérrez, 1999; Bertrand *et al.*, 2008).

In the case of sardine the available acoustic time series is shorter although useful to describe its overall pattern of abundance during the last three decades. What we learned is that there is also variability in its latitudinal distribution whose overall trend was toward the south during the 1980’s and the early 1990’s. After El Niño 1992 there was a so-called ‘regime shift’ (Chávez *et al.*, 2003, Gutiérrez *et al.*, 2007) or change in the coastal habitat which allowed the anchovy to increase its abundance mainly in the southern Peru,

then habitat for sardine reduce and it apparently migrates toward the north in agreement with the habitat-based hypothesis (Bertrand *et al.*, 2004). Other variations in water masses and plankton community compositions (Ayón *et al.*, 2008; Swartzman *et al.*, 2008) also support this observation.

However, an idea that still prevails among fishers and fishery managers is the collapse of sardine as being caused by overfishing during El Niño event during 1997-98. Our results show that when El Niño started in 1997 the biomass of sardine was already lower, and their distribution had changed northward. However, these population changes were followed by the very strong El Niño 1997-98, then sardine was again massively available close and along the coast when it followed from the north the influx of equatorial waters which characterized the first half of that event (Bertrand *et al.*, 2004). Then its distribution

Table 3. Trends of distribution, abundance and catches of mackerel before, during and after El Niño events since 1983 to 2009.**Tabla 3.** Tendencias de la distribución, abundancia y capturas de caballa antes, durante y después de eventos El Niño de 1983 a 2009.

Mackerel							
Indexes	Niño	1982-1983	1987	1992	1997-1998	2002	Overall score
	Level	very strong	moderated	weak	very strong	weak	
Relative biomass	before	medium	medium	medium	high	low	lower after 1992
	during	medium	medium	medium	medium	low	
	after	medium	medium	high	low	low	
Overall latitudinal distribution	before	all coast	all coast	all coast	northern	northern	northern after 1997
	during	all coast	all coast	all coast	central	central	central after 1997
	after	all coast	all coast	northern	central	southern	variable
Gravity center	before	-	7°S	14°S	6°S	9°S	very variable
	during	11°S	13°S	13°S	10°S	12°S	variable
	after	14°S	10°S	9°S	11°S	16°S	
Overall trend of distribution	before	all coast	all coast	-	northernward	northernward	northernward after 1992
	during	all coast	all coast	-	central	central	
	after	all coast	all coast	northernward	northernward	northernward	
Catches (x 10 ⁶ ton)	before	0	0,025	0,015	0,04	0,2	mostly low but during 1997-98
	during	0	0,02	0,015	0,32	0,07	
	after	0	0,02	0,01	0,25	0,1	

Note: 2 years before and after every El Niño event.

Table 4. Trends of distribution, abundance and catches of jack mackerel before, during and after El Niño events since 1983 to 2009.**Tabla 4.** Tendencias de la distribución, abundancia y capturas de jurel, durante y después de eventos El Niño de 1983 a 2009.

Jack Mackerel							
Indexes	Niño	1982-1983	1987	1992	1997-1998	2002	Overall score
	Level	very strong	moderated	weak	very strong	weak	
Relative biomass	before	high	high	high	medium	medium	lower after 1992
	during	high	medium	high	low	low	
	after	high	high	high	low	low	
Overall latitudinal distribution	before	-	central	southern	northern	central	variable though tending to south after 1992
	during	central	all coast	all coast	central	southern	
	after	southern	northern	northern	central	southern	
Gravity center	before	-	9°S	14°S	9°S	11°	variable
	during	11°S	14°S	13°S	9°S	15°S	
	after	13°S	10°S	10°S	12°S	16°S	
Overall trend of distribution	before	-	central	southernward	northernward	all coast	variable though tending to south after 1992
	during	central	all coast	central	central	southernward	
	after	southernward	northernward	northernward	southernward	southernward	
Catches (x 10 ⁶ ton)	before	0,1	0,05	0,12	0,4	0,7	mostly low but before 2002
	during	0,08	0,05	0,13	0,6	0,15	
	after	0,12	0,07	0,15	0,3	0,06	

Note: 2 years before and after every el Niño event.

Table 5. Overall patterns and trends of distribution and abundance of anchovy, sardine, mackerel and jack mackerel before, during and after El Niño events since 1967. **Table 5.** Patrones generales y tendencias de distribución y abundancia de anchoveta, sardina, caballa y jurel antes, durante y después de eventos El Niño ocurridos desde 1967.

Indexes	Overall pattern				
	Niño Level	Anchovy	Sardine	Mackerel	Jack mackerel
Relative biomass	before during after	higher before 1972 and after 1992	lower after 1992	lower after 1992	lower after 1992
Overall latitudinal distribution	before during after	variable though northern after 1992 central variable though mostly southern before 1992	northern after 1992 mostly central	northern after 1997 central after 1997 variable	variable though tending to south after 1992
Gravity center	before during after	very variable	very variable	very variable	variable
Overall trend of distribution	before during after	mostly northernward mostly southernward after 1982-83 variable though mostly northernward after 1992	mostly northernward mostly central mostly northernward	northernward after 1992	variable though tending to south after 1992
Catches (x 10 ⁶ ton)	before during after	higher before 1972 and after 1992	lower after 1992	mostly low but during 1997-98	mostly low but before 2002

overlapped with anchovy and consequently was caught by fishing vessels. Catches amounted to 0.784 and 1,202 ton during 1997 and 1998 respectively though exclusively juveniles of ages 1, 2 and 3 (12, 19 and 21 cm) (IMARPE, 1999). Then we wonder about the adult stock of sardine which was not observed during and after El Niño 1997-98. We sustain that (as in Bertrand *et al.*, 2004) that acoustic surveys were unable to assess the bigger part of the area of distribution of sardine, which was apparently underestimated by a displacement westward before El Niño 1997-98, then out of reach of research vessels. In addition we hypothesize that the collapse of sardine is due to a massive die-off of the adult stock during that El Niño, and just in third place by the overfishing of the juvenile stock during the event. That collapse is also observed -though to a lesser extent- in relation to mackerel and jack mackerel.

The hidden history

However the case of mackerel and jack mackerel (MJ) has a different fishery forcing. Their catches are absolutely not related with actual biomass: much higher biomass before 1997-98 but very low catches because of no dedicated fishery, which were by then almost exclusively focused on anchovy and sardine. The reason for this is due to the fact that the MJ fishery had just developed since mid the 1990's when the abundance of these species was decreasing according to what we see in our data. Then in this case catch data is not a good proxy of fish biomass even for the large scale patterns. Another aspect we found is that the distribution patterns of MJ are mainly oceanic and very similar with the one of sardine. Therefore SMJ were all probably affected by the same environmental forcing, so their ability to cope with new environmental conditions and amplified coastal habitat were not as effective as the ones of anchovy regarding a shallower Minimum Oxygen Zone (MOZ) since the late 1990's which also reduced the vertical extent of the pelagic habitat range (Bertrand, *et al.*, 2011). On the other hand, after 1992 (when a slow recovery of anchovy was evident), acoustic surveys on pelagic fish stocks were mostly planned to favor the assessment of the area where anchovy population distributed, then variable portions of the SMJ populations were often left out of the surveyed area (Bertrand *et al.*, 2004), biasing at some extent the acoustic assessment of those stocks. Besides, during the last decade it has increased the fishery exploitation on jack mackerel which is still unregulated in the high seas. The effects of this international fishing fleet can also account for the apparent collapse of jack mackerel (SPRFMO, 2009).

Environmental changes: a synthesis

During the second half of the 1970's and during the 1980's weaker upwelling was noticed which reduced the volume of the Coastal Cold Waters (CCW) habitat whilst Subtropical Surface Water (SSW) distributed closer to the coast (Swartzman *et al.*, 2008). SMJ biomass would have increased as a consequence of wider habitat while anchovy abundance reduced as the volume of its habitat and availability of prey did (Bertrand *et al.*, 2004; Ayón *et al.*, 2008).

Ayón *et al.* (2008) showed the reduced biovolume of zooplankton during the 1970's and 1980's to propose the theory of a bottom up forcing on the population dynamics and abundance of anchovy. Then anchovy progressively recovered since the early 1990's though just after the El Niño 1997-98 there was also an expansion of CCW toward the north which was explained by stronger trade winds and upwelling (as well as colder temperatures as during the 1960's when anchovy fishery boomed). Consequently anchovy biomass increased following its northward habitat amplification (Chávez *et al.*, 2008). After El Niño 2002 the anchovy population entered into an ideal environmental regime (Chávez *et al.*, 2008) with habitat conditions characterized by reduced vertical habitat due to a shallower OMZ (Bertrand *et al.*, 2011).

Then the environmental conditions prevailing since El Niño 1997-98 (though very especially after El Niño 2002) conduced to a "full anchovy era" (Gutiérrez *et al.*, 2007) characterized by a collapse of sardine and a reduced abundance of MJ in the NHCS. As shown in this paper it is always expected to observe temporal variations in the coastal habitat range due to El Niño-like events which might produce good SMJ fishing seasons every now and then but, for a sustained distribution of SMJ within Peruvian EEZ, long term physical conditions would have to change as described by Bakun & Weeks (2008) by weakening the coastal upwelling (which in turn would be negative for anchovy). Figure 4 is a schematic view to two scenarios or conditions to be fulfilled on the vertical structure of the water column to be consistently and continuously observed to conduce to the dominance of anchovy (left) or SMJ (right) in the ecosystem.

CONCLUSIONS

The variations we observed in the NHCS regarding latitudinal acoustic biomass and abundance of anchovy (A) and sardine, mackerel and jack mackerel (SMJ) are related to the succession of El Niño events. In most of the cases during 1966 to 2009 the

distribution of all species was different before and after an El Niño, though there is no categorical relationship between El Niño strength and the magnitude of the changes it produced. When anchovy biomass increased the SMJ populations appear with a patchy distribution and lower densities as an effect of the expansion of the upwelling and shallower location of the OMZ which reduces the vertical habitat for SMJ, hence affecting their population levels.

Therefore we concluded that: a) every El Niño event has had an effect on the expansion or contraction of pelagic species distribution and abundance, with different latitudinal effects; and b) the El Niño 1997-98 did not trigger but accelerated a decline phase on the abundance of sardine, jack mackerel and mackerel by a reduction of their ideal habitat due to an expansion of the coastal ecosystem caused by a shallower location of the upper limit of the Oxygen Minimum Zone (OMZ). These findings observed using past data might be taken into consideration for fishery management purposes when considering future scenarios.

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